



Common Access Card (CAC) Release 1.0 Reader Specifications

Version 1.01
August 17, 2007

Prepared by: Access Card Office (ACO) and DoD PKI PMO

Table of Contents

| | |
|---|----------|
| 1. PURPOSE: | 4 |
| 2. DOD CAC FOR DOD PKI CLASS 3 ARCHETECTURE: | 4 |
| 2.1. GUIDING PRINCIPLES | 5 |
| 2.2. CAC/DoD PKI CLASS 3 READER SPECIFICATIONS | 5 |

Document Revision History

| Date | Version | Comments Provided By | Summary of Changes |
|-------------------|----------------|-----------------------------|--|
| 25 September 2000 | version 1.0 | Not applicable | Final version |
| 17 August 2007 | Version 1.01 | DMDC | Changed classification from For Official Use Only (FOUO) to UNCLASSIFIED |
| | | | |
| | | | |

1. Purpose:

The Deputy Secretary of Defense (DEPSECDEF) memorandum of November 10, 1999, regarding the Common Access Card (CAC), discussed Department-wide usage of the CAC for identification, physical access, and as the primary carrier of DoD Public Key Infrastructure (PKI) credentials. The CAC also has additional functionality for Component-specific requirements.

PKI and multiple applications place stringent requirements on smart card readers. As PKI is supported by the overall CAC, the CAC and smart card readers are only a subset of the overall DoD PKI Architecture for Class 3 and future PKI requirements. This document will outline the specifications for initial procurement of smart card readers to support, at a minimum, the DoD PKI Class 3 Architecture.

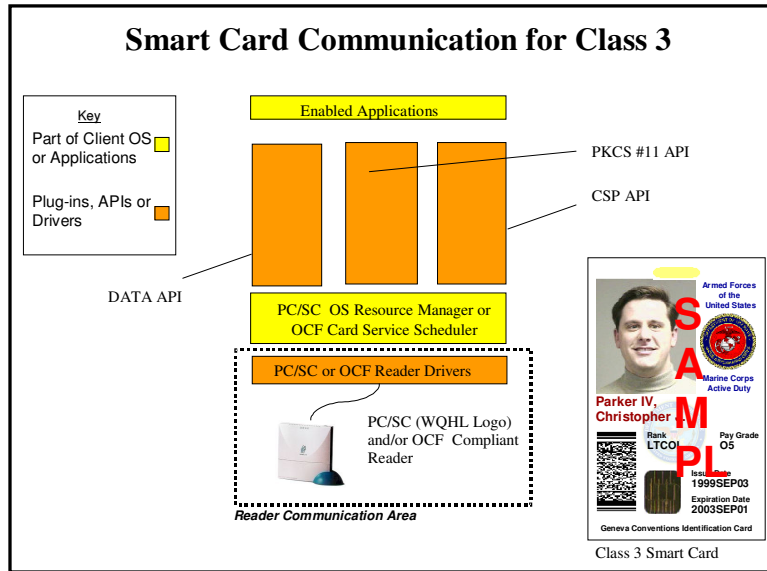
For more detailed discussions and analysis of the smart card reader specification, please refer to “Smart Card Reader Interoperability: Operation in DoD PKI Class 3 and Target Class 4 Architecture version 1.0” white paper prepared by the DoD PKI’s Target Token Work Group.

2. DoD CAC for DoD PKI Class 3 Architecture:

The CAC and the respective reader will be two elements of the overall CAC architecture. This section will discuss smart card reader topics associated with the CAC architecture to include all smart card enabled client workstations and RAPIDS workstations. It is anticipated that other devices (e.g. mobile phones, personal digital assistants, etc.) may also interact with the CAC. Those interfaces and interaction are not discussed in this document.

Figure 1.0 illustrates the smart card communication path for the CAC architecture.

Figure 1.0: Illustration of Smart Card Communication for CAC Architecture



2.1. Guiding Principles

For the DoD PKI Class 3 CAC reader specification, the below guiding principles or basic assumptions apply.

- The DoD PKI Target Class 4 architecture will not obsolete the Class 3 Architecture.
- The DoD PKI Target Class 4 smart card requirements will not obsolete Class 3 smart card and/or reader requirements.
- The DoD PKI Class 3 smart card and reader requirements will evolve to the Target Class 4 smart card and reader requirements over time without major infrastructure obsolescence.

2.2. CAC/DoD PKI Class 3 Reader Specifications

Smart card readers will be needed to interact with the smart card in a Microsoft Windows 95, 98, NT 4.0 or higher; UNIX; LINUX; Macintosh, and JavaOS environments. All smart card readers shall minimally be PC/SC (WHQL logoed) certified. Additionally, all smart card readers destined for UNIX, LINUX, Macintosh, and JavaOS environments shall provide PC/SC (ie M.U.S.C.L.E.) and OCF complaint reader drivers and/or components.

The following are the reader specifications for the potential hardware interfaces (embedded in workstation, RS232 interface, USB 1.0 interface, and PCMCIA interface) to client workstations. CINC/Services/Agencies may

desire additional features or functions, but ALL CAC readers must minimally comply with the below specifications.

| Specifications | Reader Type | | | |
|---|--|--|--|--|
| | Workstation Embedded | 9 pin RS-232 Serial Interface | USB 1.0 Port Interface | PCMCIA Interface |
| General Specifications | | | | |
| Standards | All shall be PC/SC (WHQL Logo) certified. Additionally, those readers destined for workstations other than Wintel shall provide PC/SC (M.U.S.C.L.E) certified and OCF compliant reader drivers | All shall be PC/SC (WHQL Logo) certified. Additionally, those readers destined for workstations other than Wintel shall provide PC/SC (M.U.S.C.L.E) certified and OCF compliant reader drivers | All shall be PC/SC (WHQL Logo) certified. Additionally, those readers destined for workstations other than Wintel shall provide PC/SC (M.U.S.C.L.E) certified and OCF compliant reader drivers | All shall be PC/SC (WHQL Logo) certified. Additionally, those readers destined for workstations other than Wintel shall provide PC/SC (M.U.S.C.L.E) certified and OCF compliant reader drivers |
| LED | 1, w/dual displaying power-on and read/write | 1, w/dual displaying power-on and read/write | 1, w/dual displaying power-on and read/write | N/A |
| Protocol | T=1 and T=0 | T=1 and T=0 | T=1 and T=0 | T=1 and T=0 |
| Frequency | 1-5 MHz | 1-5 MHz | 1-5 MHz | 1-5 MHz |
| Software Updates provided (drivers and protocols) | Yes | Yes | Yes | Yes |
| Cable | N/A | min. 1-3 meter | min. 1-3 meter | N/A |
| PCMCIA | N/A | N/A | N/A | Type II Interface |
| Protocol Management / Communication | | | | |
| Data Exchange Rate (smart card to reader) | 9600 bps to 115,200 bps or greater | 9600 bps to 115,200 bps or greater | 9600 bps to 115,200 bps or greater | 9600 bps to 115,200 bps or greater |
| Power | | | | |
| Source | N/A | via PS/2 or DIN5 port | USB 1.0 | N/A |
| Voltage | 3V and 5V | 3V and 5V | 3V and 5V | 3V and 5V |
| Specifications | ISO 7816, EMV(5V,60mA) | ISO 7816, EMV(5V,60mA) | ISO 7816, EMV(5V,60mA) | ISO 7816, EMV(5V,60mA) |
| Physical | | | | |
| Insertion Cycles | min. 100,000 | min. 100,000 | min. 100,000 | min. 100,000 |
| Chip Location | ISO 7816 | ISO 7816 | ISO 7816 | ISO 7816 |
| Additional Desirable but not Required Features | | | | |
| Casing | N/A | Supports vertical | Supports vertical | N/A |
| Short Circuit Detection | Yes | Yes | Yes | Yes |