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DoD Public Key Enablement (PKE) Reference Guide InstallRoot 5.6 User Guide

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# InstallRoot 5.6 User Guide

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Version 1.6

DOD PKE Team

### InstallRoot 5.6 **Revision History**

Issue Date	Revision	Change Description
12/7/2015	1.0	Initial publication.
10/6/2017	1.1	Updated to reflect IR version change from 5.0 to 5.2.
11/15/2017	1.2	Updated to reflect changes to support TLS 1.2 and version number.
1/14/2019	1.3	Updated default DoD, ECA, JITC tamp shipped with IR.
2/11/2019	1.4	Updated NSS version to 3.17 to support new DB version.
		Changed code to allow new NSS DB version.
		Updated default tamps shipped with IR.
6/26/2019	1.5	Updated URLs to point from IASE to GDS.
		Updated default tamps shipped with IR.
10/27/2023	1.6	Updated default TAMPs shipped with IR and digital signature on MSI.

# InstallRoot 5.6 Table of Contents

### Contents

Overview7
InstallRoot 5.6 System Requirements
Prerequisite Software Requirements
Supported Operating Systems
Supported Browsers
Supported Network Security Service (NSS)
Verifying the Digital Signature of InstallRoot9
Installation10
InstallRoot 5.6 Quick Start Guide11
InstallRoot 5.6 Interface Information12
Configuration and Deployment Options13
Configuring InstallRoot13
Registry Configuration13
UI Configuration
Installing Enterprise Certificates13
InstallRoot Windows Service13
Command-line Utility14
Configuring TAMP Message Sources14
DISA source location14
Local Server Cache14
Getting to Know InstallRoot 5.615
InstallRoot User Privileges15
Navigating the InstallRoot UI15
Selecting Stores, Groups, and Certificates16
Viewing certificate information16
Managing certificate subscription and installation17
Home Tab
Installing Certificates
Online Update

iii UNCLASSIFIED

InstallRoot 5.6	UNCLASSIFIED
Managing Preferences	
Save Settings	
Restart as Administrator	
Store Tab	
Adding an NSS Store	
Adding a Java trust Store	
Adding an Active Directory NTAuth Store	
Removing a Trust Store	
NTAuth Comparison Report	
Group Tab	
InstallRoot Group Types	
Viewing the Digital Signature	
Selecting a Group	
Adding Certificate Groups	
Editing Certificate Groups	
Removing Certificate Groups	
Subscribing Groups	
Unsubscribing Groups	
Certificate Tab	
Uninstalling Certificates	
Managing individual certificate subscriptions	
Exporting Certificates	
Cleaning Certificates	
Refresh Certificates	
Help Tab	
Help	
About	
Quick Start	
Application and Service Logs	
Certificate Cleanup	
Locating Certificates	
Certificates	

InstallRoot 5.6	UNCLASSIFIED
InstallRoot Stores	
Countries	
Sorting and Cleaning Certificates	
Sorting Certificates	
Selecting Certificates	
Deleting Certificates	
Untrusting Certificates	
Exporting Certificates	
Command-Line Utility	
Preparation	
Running InstallRoot with the Command-Line Utility	
Using Commands	
Installing certificates	
Removing Certificates	
Cache Clearing	
Managing Trust Stores	
Managing Groups	
Managing Individual Certificates	
Managing Logs	
Exporting certificates	
Managing Online Update Options	
Uninstalling InstallRoot	
Release Notes	
5.2 General Changes	
5.5 General Changes	
5.6 General Changes	
Appendix A: Supplemental Information	
Web Site	
Technical Support	
Acronyms	
Appendix B: Log Information	
InstallRoot Error Logging	

InstallRoot 5.6	UNCLASSIFIED
Windows Error Logging	
Command-line Interface Exit Codes	
InstallRoot Cache	
Appendix C: Active Directory Installation Overview	
Methods of deployment	
Creating a distribution point	
Create a Group Policy Object	
Appendix D: Using InstallRoot in Disconnected Environments.	51
Obtaining the Latest InstallRoot TAMP Message	51
Option 1: Direct Download	51
Option 2: InstallRoot Update	51
Redistributing the Latest TAMP Message	
Option 1: Hosting the Latest TAMP Message on a Local We	eb or File Server 52
Option 2: Placing the Latest TAMP Message Directly onto V	Workstations52
Configuring InstallRoot to Use the Local TAMP Message	
Automatic Certificate Updates: Windows Service	
Manual Certificate Updates	

### Overview

DoD Public Key Infrastructure (PKI) is built on a trust model which requires the establishment of a trust chain between an end entity certificate and a trusted root certification authority (CA). These root CA certificates are the basis for the trust relationship that must exist between servers and connecting clients, or any other application that uses certificates for digital signature or authentication. The certificate validation process verifies trust by checking each certificate in the chain from the end entity certificate to the root CA. If the root CA is not trusted, all other certificates in the chain, including the end entity certificate, are considered untrusted.

InstallRoot 5.6 installs DoD-specific root and intermediate CA certificates into trust stores on Microsoft servers and workstations, thereby establishing trust of the installed CA certificates. It can also manage DoD PKI CA certificates and other PKI CA certificates that may be necessary for conducting DoD business across a variety of certificate stores in a system. The contents of each certificate store dictate whether applications (such as web browsers, email clients, and document viewers) will trust a particular PKI and the certificates it issues.

A Graphical User Interface (GUI), Command-Line Interface (CLI), and the InstallRoot Windows Service are available to suit different user preferences and needs. Each version is contained within a single .MSI and is available from the DoD Public Key Enablement (PKE) web site at <a href="https://cyber.mil/pki-pke/">https://cyber.mil/pki-pke/</a>. Three .MSI installers are available: 32-bit, 64-bit, and a non-administrative (non-admin) version which does not require administrative privileges to install.

InstallRoot is available for both NIPRNet and SIPRNet. SIPRNet .MSIs for the application are available at <u>https://cyber.mil/pki-pke/</u>and come packaged with a SIPRNet version of this guide.

NOTE: The Windows Service feature is not included in the non-admin version of InstallRoot 5.6.

# InstallRoot 5.6 System Requirements

Check the following system requirements before running InstallRoot 5.6 to ensure optimal performance.

### **Prerequisite Software Requirements**

- .NET Framework version 3.5 SP1, 4.0, or 4.5.
- Microsoft Visual C++ redistributable.

NOTE: The InstallRoot\_v5.6-NonAdmin.msi does <u>NOT</u> include the required C++ redistributable packaged in the standard installers. The Microsoft Visual C++ redistributable may be downloaded at <u>https://support.microsoft.com/enus/kb/2977003</u>.

### **Supported Operating Systems**

- Windows 7 (32 and 64-bit)
- Windows 8 and 8.1 (32 and 64-bit)
- Windows 10 (32 and 64-bit)

#### NOTE: Restricted mode not supported.

- Windows Server 2008 and 2008 R2 (32 and 64-bit)
- Windows Server 2012 and 2012 R2 (32 and 64-bit)
- Windows Server 2016 (32 and 64-bit)
- Windows Server 2019 (32 and 64-bit)

#### **Supported Browsers**

- Internet Explorer
- Firefox
- Google Chrome

### Supported Network Security Service (NSS)

• Version 3.93

NOTE: InstallRoot has been tested to function on all listed supported platforms; other platforms may work but have not been tested.

# **Verifying the Digital Signature of InstallRoot**

Before proceeding with installation, verify that the installer (.MSI file) has been digitally signed by DoD PKE Engineering. Use the following steps to verify the digital signature:

- In Windows Explorer, navigate to the directory containing the InstallRoot\_v5.6.msi, InstallRoot\_v5.6x64.msi, or InstallRoot\_v5.6-NonAdmin.msi.
- 2) Right-click the .MSI file and select **Properties** from the options menu to open the Properties window.
- 3) Select the **Digital Signatures** tab.
- 4) Select "CS.DISA.ID21.08-0029" in the Signature list and click **Details**. This will open the **Digital Signature Details** window.

NOTE: If DoD Root CA 3 is already installed, the message "This digital signature is OK" should display when checking the signature on a machine with the DoD production PKI certificates installed.

If DoD Root CA 3 has <u>NOT</u> been installed, the message "This signature is untrusted" will display. Perform the following steps to verify the signature should be trusted:

- a) In the **Digital Signature Details** window, click **View Certificate**.
- b) On the Certificate Path tab, select DoD Root CA 3 and click View
   Certificate. Select the DoD Root CA 3 certificate's Details tab and scroll to the bottom of the window to view the thumbprint.
- c) Verify the DoD Root CA 3 thumbprint by calling the DoD PKI at (844) 3472457 or DSN 850-0032.
- 5) Close the DoD Root CA 3 certificate. If it is not already open, view the CS.DISA.ID21.08-0029certificate by clicking View Certificate in the Digital Signature Details window. Select the Certification Path tab to verify the certification path reads "DoD Root CA 3 > DoD SW-CA-60 > CS.DISA.ID21.08-0029."

NOTE: If the digital signature is not OK, do <u>NOT</u> proceed with installation as the version of the tool may not be authentic.

6) Click **OK** in each of the three open properties windows to close them.

### Installation

Use the following steps to install the application on an individual machine. For information on installing the application using an Active Directory Group Policy Object (GPO), see Appendix C: Active Directory Installation Overview.

NOTE: Please uninstall any previously installed versions of InstallRoot before proceeding. Configuration changes made using previous versions of InstallRoot will be removed upon uninstallation.

 After verifying the correct digital signature on the desired InstallRoot .MSI file (see Verifying the Digital Signature of InstallRoot), double-click InstallRoot5.6.msi, InstallRoot5.6\_x64.msi or InstallRoot5.6\_non-admin.msi to launch the installation wizard.

See the **InstallRoot 5.6 System Requirements** section to ensure the proper software requirements are met for the MSI chosen.

NOTE: SIPRNet versions of the application are also available. SIPRNet .MSIs for the application are available at <u>https://cyber.mil/pki-pke/</u>and come packaged with a SIPRNet version of this guide.

- 2) On the Welcome screen of the wizard, click **Next**.
- 3) On the Choose a file location screen of the wizard, enter the desired installation location for InstallRoot and click **Next**. The default path for both versions of InstallRoot 5.6 is:

C:\Program Files\DoD-PKE\InstallRoot

4) On the InstallRoot Features screen of the wizard, check the features desired for installation. By default, all features will be installed. Unless there is a specific reason not to install a feature, it is recommended that all features are selected and installed.

NOTE: The option to install the Windows Service feature is not present in the non-admin version of InstallRoot 5.6.

- 5) On the Begin Installation screen, click **Install** to install the program. If prompted, click **Yes** in the Microsoft User Account Control (UAC) window to allow the installer to run with administrative rights.
- 6) When the wizard completes installation, click **Close** to exit, or **Run InstallRoot** to launch the GUI.

# InstallRoot 5.6 Quick Start Guide

Upon first use, the InstallRoot 5.6 **Quick Start Tutorial** on installing certificates will launch automatically. After the initial run, the tutorial can be re-launched at any time from the **Help** tab of the InstallRoot GUI.

1) By default, InstallRoot will launch without administrative privileges. This will open the *Microsoft Current User* trust store upon launch and limit some features of the tool.

To launch InstallRoot with administrative privileges, right-click and select **Run as Administrator** or, if InstallRoot is already open, select **Restart as Administrator** from the InstallRoot **Home** tab. This will launch InstallRoot and open the *Local Computer Certificate Store* instead of the default *Microsoft Current User Store*. See the **InstallRoot User Privileges** section for additional information on Administrator features.

- 2) InstallRoot will scan for new NSS or Java stores upon startup. Click Yes to add any new stores detected to InstallRoot. By default, InstallRoot will combine all known NSS or Java store locations into a single store, known as a Multi-Store. Stores may be added individually or as multi-stores using the Add button in the Store tab.
- 3) Use the Store and Group tabs to Add, Remove, or toggle Subscriptions for each. Administrator rights and domain access are required to add the NTAuth store. To modify the online update locations for each group, use the Edit button. Select Restore defaults to restore the default DoD, ECA, and JITC groups to a trust store.
- 4) Subscribe, Export, and Uninstall certificates in the Certificates tab. Use Certificate Cleanup to filter and remove problematic certificates from configured InstallRoot stores. See the Certificate Cleanup section for more information.
- 5) To install certificates, click the certificate store and ensure the store is subscribed (♥) to the desired certificate groups or individual certificates. Subscriptions to certificate groups and individual certificates may be toggled by selecting the (♥) and (♥) icons located next to each group or certificate name, or by using the functions located in the corresponding Group or Certificates tab.

Once ready, select Install Certificates from the Home tab.

6) Use Online Update to check for new Trust Anchor Management Protocol (TAMP) messages from the GDS (CRL GDS) website. Online updates may occur automatically by selecting the setting in the **Preferences** window. For more information on TAMP messages, see the **Online Update** section.

7) Use Preferences to customize automatic online update timing, add proxy servers and ports, or modify the windows service. Select Save settings to save any subscription changes to individual certificates or certificate groups, as well as any changes to certificate stores.

### InstallRoot 5.6 Interface Information



# **Configuration and Deployment Options**

InstallRoot 5.6 has been designed with a number of flexible deployment options, allowing for multiple ways of configuring the application, installing certificates, and customizing TAMP message sources across a variety of network workstations.

NOTE: Information on deploying InstallRoot in a disconnected environment is available in Appendix D: Using InstallRoot in Disconnected Environments.

### **Configuring InstallRoot** Registry Configuration

Administrators in enterprise environments may wish to use the registry to configure InstallRoot across a large number of workstations. Use the registry keys found under the HKLM\Software\DoD-PKE\InstallRoot registry to deploy to other workstations.

#### **UI** Configuration

InstallRoot users with administrative privileges may make configuration changes using the InstallRoot UI. Administrative privileges allow access to features such as Windows service management, NTAuth store, and the local computer certificate store. See the **InstallRoot User Privileges** section for more information about access and features for configuration.

### **Installing Enterprise Certificates**

#### InstallRoot Windows Service

The windows service is the primary method for certificate installation within InstallRoot and can be configured using the InstallRoot UI provided a user has administrator privileges. When running, the service will check for updated InstallRoot TAMP messages at the interval specified by the **Perform online check every** interval. This setting can be modified in the Preference. section of the **Home** tab. Notifications for the service will be sent to the **Windows Event Log** in the DoD-PKE InstallRoot folder. See the **Windows Error Logging** section for more information.

Instructions for starting and stopping the service are described in the Managing **Preferences** section of this document. An administrator can also control the service directly by using the **Services MMC** (services.msc) in Windows.

NOTE: By default, the InstallRoot 5.6 service runs using the Local System Account which usually does not have permissions to access files located on network shares. If using InstallRoot 5.6 to access TAMP messages located on network file shares, the account used by the InstallRoot service may need to be updated to one that has the correct permissions.

#### **Command-line Utility**

Organizations who want to use user logon scripts to update certificate installations across multiple workstations may also use the "update argument of the command" line. This command will pull new InstallRoot TAMP messages from the DISA GDS. See the **Command-line Utility** section for more information.

### **Configuring TAMP Message Sources**

#### **DISA source location**

During an Online Update, InstallRoot checks for new InstallRoot TAMP messages on the GDS website. This is the default source location for InstallRoot TAMP message updates. See the Editing Certificate Groups section for instructions on configuring a new source location for InstallRoot TAMP messages.

#### Local Server Cache

Organizations who want to use a local server to cache TAMP messages have the option to do so with InstallRoot 5.6. A server may be used to host the InstallRoot TAMP message (.ir4 file) cache which may be copied to a new location for web publishing. Once published, local workstations running InstallRoot 5.6 may be pointed to that location to download TAMP messages. The figure below depicts a high-level deployment example of how InstallRoot might be used with a local cache server.



# **Getting to Know InstallRoot 5.6**

#### InstallRoot User Privileges

It is important to note that InstallRoot 5.6 may be launched as two different versions:

1) A non-admin version that can be installed by a non-privileged user. If InstallRoot is launched without administrative privileges, the *Current User Certificate Store* will be opened by default, making certificates available only to the current user.

NOTE: The non-admin version of InstallRoot 5.6 does not include the Windows Service functionality.

2) An administrator version which provides full functionality but requires administrative privileges. Administrator features include access to Windows service management, NTAuth store, NTAuth comparison reports, and Windows service log files. If InstallRoot is launched with administrative privileges, the *Local Computer Certificate Store* will be opened by default.

The NTAuth Store may only be managed if the administrator is logged onto a domain-joined machine as a Domain Administrator. The NTAuth store will be disabled if both of these criteria are not met.

**Right-click** and select **Run as Administrator** to open the administrative version of InstallRoot. To switch to the administrator version after having already opened InstallRoot as a non-admin, select the **Restart as Administrator** button from the **Home** tab of the interface.

NOTE: If Microsoft certificates are installed first by an unprivileged user and then an administrator, two copies of the Microsoft certificates will appear in the unprivileged user's Microsoft certificate store.

### Navigating the InstallRoot UI

The InstallRoot 5.6 UI contains a tabbed listing of **Certificate Stores** that InstallRoot is configured to manage. Within each store is a listing of **Certificate Groups**, each of which may be expanded to show a detailed listing of certificates within each group.

Each tab on the ribbon command bar contains buttons for performing different types of actions with the **Certificate Stores**, **Certificate Groups**, and individual certificates displayed in the main pane.

Upon launching InstallRoot 5.6, the Home Tab screen will be displayed by default.



#### NOTE: If run as an administrator, InstallRoot will open the *Microsoft Local Computer* store. The Restart as Administrator button will no longer be visible.

#### Selecting Stores, Groups, and Certificates

- 1) A certificate group or individual certificate must first be selected before they can be installed.
- 2) To select a certificate group, click its name.
- 3) To select an individual certificate within a group, expand the certificate group and click the desired certificate.

#### Viewing certificate information

- 1) Tab between certificate stores to view the certificate groups under each.
- 2) Click the drop-down arrow of each certificate group to view individual certificates.
- 3) Double-click any individual certificates within a certificate group to view the certificate properties.

#### Managing certificate subscription and installation

1) The subscription and installation status for both certificate groups and individual certificates within each group can be toggled by clicking on its subscription indicator () or ().

# **Home Tab**

The Home tab is the first tab of the InstallRoot toolbar and contains the primary functions of InstallRoot.



### **Installing** Certificates

To install certificates to a trust store, subscribe to the relevant certificate groups by selecting the ( $\checkmark$ ). This will mark the certificates contained within for installation. The subscription status for individual certificates may also be toggled in the same manner. Once the desired groups and certificates have been subscribed, select **Install Certificates**.

Once installed, the results of the installation will be displayed in the **Certificate Action Summary** window shown below:

oD (22)		
Target Certificate Store	Adds	Deletes
Firefox	5 of 5	0
Subject: DOD CA-25; Issuer: DoD Root CA 2: INSTALLED	Fig	
Subject: DOD CA-26; Issuer: DoD Root CA 2: INSTALLED		
Subject: DOD CA-27; Issuer: DoD Root CA 2: INSTALLED		
Subject: DOD CA-28; Issuer: DoD Root CA 2: INSTALLED		
Subject: DOD CA-29; Issuer: DoD Root CA 2: INSTALLED		
Microsoft Current User	0	0



NOTE: The desired subscriptions must be configured individually for each trust store before the subscribed certificates will be installed for each.



Important! An NSS store cannot be modified while an application that uses it, such as Firefox or Thunderbird, is running. If InstallRoot is launched or a request to install certificates is issued while an NSS application is running, a warning will be displayed, and the operation will not be performed. To update the NSS store, close all applications using that store and then perform the desired operation. Contact the system administrator if unsure of the application(s) using the NSS store on the system.

### **Online Update**

InstallRoot checks for and accepts TAMP messages in order to update certificate information within the tool. TAMP messages are digitally signed files containing CA certificates and associated instructions (such as add or remove) that can be used by InstallRoot to update trust stores.

Select **Online Update** to check if there are new InstallRoot TAMP messages available and, if so, download and process the messages. By default, InstallRoot will check for new messages coming from the GDS website. This location may be changed using the group **Edit** button located in the **Group** tab. See **Editing Certificate Groups** for more information.

NOTE: Online Update requires internet access. Online updates will happen automatically if the InstallRoot service is running but can be performed manually if desired.

### **Managing Preferences**

InstallRoot 5.6 contains several options for update scheduling and customizing windows service preferences.

Preferences	×
<ul> <li>Online check at startup every 7 days</li> <li>Start service automatically on boot</li> <li>InstallRoot service status: Stopped </li> </ul>	Administrator rights are required to start
Proxy Server Hostname:	or stop the windows service.
Save Close	

- **Online check at startup**: If selected, InstallRoot will check to see if an online update needs to be performed when the application is launched.
- **Perform online check after:** Specifies the length of time that InstallRoot should wait between performing online update checks.

NOTE: When running as an administrator, this setting is shared between the InstallRoot GUI and the Windows Service. If an online update is performed by either application, the interval will be reset. Updates for the default certificate groups do not occur very frequently; approximately once every six months for DoD.

**NOTE: To configure the following options, InstallRoot must be run as an administrator. See** *Restart as Administrator* **for more information.** 

- **Start service automatically on boot:** Indicates whether the InstallRoot service is set to start automatically. If InstallRoot is being run as a user without administrative privileges, the option will be greyed out but will display the current configuration.
- InstallRoot Windows Service: A status message will indicate whether the InstallRoot Windows service is Running or Stopped. Use the play ( ) and stop ( ) buttons to start and stop the service accordingly.
- Adding a proxy server hostname: If desired, a proxy server hostname may be specified in the text field.
- Adding a proxy server port: If desired, a proxy server port may be specified in the text field.

### Save Settings

Selecting **Save Settings** will save any changes that have been made to certificate subscriptions, added certificate stores, or to the InstallRoot UI.

### **Restart as Administrator**

Use **Restart as Administrator** to restart the application with administrative privileges. Administrator features can be found in the **InstallRoot User Privileges** section. Clicking this button provides the same functionality as launching InstallRoot by right clicking the program and selecting **Run as administrator**.

NOTE: Users restarting as an administrator will be prompted for the proper credentials prior to opening InstallRoot as an administrator. Any settings that were selected when running without administrative privileges are not preserved when the tool is re-launched with administrative privileges.

### **Store Tab**

The **Store** tab displays options for managing the three types of certificate trust stores in InstallRoot:

- NSS stores are used by Mozilla Firefox, Thunderbird, and Apache web server when run with mod\_nss. InstallRoot supports NSS stores with passwords and in FIPS mode.
- Java key stores are contained in Java Runtime Environment (JRE) and Java Development Kit (JDK) installations, which are used for the basis of trust for Java applets running in web browsers and for Java apps.
- The Microsoft Current User and Local Computer (Administrator only) stores control which PKIs Microsoft applications (e.g., Internet Explorer, Microsoft Outlook, etc.) trust. Many third-party applications that run on Microsoft operating systems (e.g., Google Chrome) also use the Microsoft certificate stores.

If InstallRoot is being run as a user without administrative rights, the **Microsoft Current User** store will be opened by default. Selecting the **Restart as Administrator** button will restart InstallRoot with administrative privileges and display the **Microsoft Local Computer** store.

• The Active Directory NTAuth store controls which PKIs can be used for domain smart card logon.

NOTE: A Microsoft trust store can be removed, but it will return upon restarting the GUI. If deleted and restarted, the group subscription information will need to be re-enabled.

#### InstallRoot 5.6

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	Hime	Sti re	Group	Certificate	Help				1	
		S.	_	5		<b>X</b> -				
pen certificate	NSS	Java	Local	Active Direc	tory NTAuth	Remove				
tores will be	Mozilla	lava	Compute	er NTAuth Microsoft	Report	Action				
abbed. Right-			_		hepore	Action				
lick stores to	🥭 Mic	rosoft Curi	ent User	😻 Multi-Nic	Parraya					
w store details.	🛷 Insta	III DoD Ce	rtificates 🤅		Details					
	Su	ubject			Issuer		Sub-location	Installed	Subscribed	
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	D D				DoD Root CA 3		ROOT		4	
DOD CA-25			_	DoD Root CA 2		INTERMEDIATE	4	* * * * *		
		DOD CA-26			DoD Root CA 2					
		DOD CA-27           DOD CA-28           DOD CA-29           DOD CA-30           DOD CA-31           DOD CA-32           DOD EMAIL CA-25           DOD EMAIL CA-26           DOD EMAIL CA-27			DoD Root CA 2 II DoD Root CA 2 II DoD Root CA 2 II DoD Root CA 2 II		INTERMEDIATE			
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	Ӿ Insta	II JITC Cert	ificates 🥹							
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			C	aetans will	usplay all					

### Adding an NSS Store

- 1) Within the **Store** tab of the primary toolbar, select the **NSS** button.
- 2) The **Select an NSS Store** dialogue will appear and automatically present any Firefox or Thunderbird profiles that InstallRoot has found on the system. By default, InstallRoot will add all NSS profiles into a single multi-store.

Stores may be added individually by selecting the **Manage a single (selected) NSS key store** option. If the desired NSS profile is not listed, use the **Browse...** button to navigate to the correct location.

NOTE: If a NSS store is managed individually and it was part of a multi-store, that NSS store will be removed from the multi-store.

- 3) In the **New Store Name** field, choose a name for the NSS trust store. InstallRoot will suggest a name based on the application that uses it, which can be changed as desired.
- 4) Once the store's name and location has been determined, select **OK**.

#### Adding a Java trust Store

1) Select the **Java** button within the **Store** tab of the primary toolbar.

22 UNCLASSIFIED The **Add a Java Store** dialogue will appear and automatically present any Java profiles that InstallRoot has found on the system. By default, InstallRoot will add all Java profiles into a single multi-store. This option is recommended for the simplicity of managing multiple stores at once. As new Java versions are installed on the computer, the multi-store will automatically find those new installations and manage the certificates appropriately.

Stores may be added individually by selecting the **Manage a single (selected) Java key store** option. If the desired Java profile is not listed, use the **Browse...** button to navigate to the correct location.

NOTE: If a Java store is managed individually and it was part of a multi-store, that Java store will be removed from the multi-store.

- 2) In the **New Store Name** field, choose a name for the Java trust store. InstallRoot will suggest a name based on the Java store type, which can be changed as desired.
- 3) Once the store's name and location has been determined, select **OK**.

### Adding an Active Directory NTAuth Store

NOTE: The machine running InstallRoot must be domain-joined, with the user running InstallRoot having domain administrator rights in order to add the Active Directory NTAuth store. To manage the NTAuth store, it is not necessary to run InstallRoot from a domain controller; just a machine in the domain.

- 1) Select the **Active Directory NTAuth** button within the **Store** tab of the toolbar.
- 2) Upon selection, a security window will appear warning that any actions in the NTAuth store impact the entire domain. Select **OK** to continue.

NOTE: The Active Directory NTAuth button will be active so long as the machine is a member of a domain, and the user has administrative rights. The NTAuth Store will be disabled if both of these criteria have not been met.

3) A new store called NTAuth will be created. The certificates in the NTAuth store can now be managed using the same procedures as for any other store types.

### **Removing a Trust Store**

- 1) Select the tabbed store name desired for removal.
- 2) Click the **Remove** button located in the **Store** tab of the toolbar.
- 3) Confirm the removal.

NOTE: InstallRoot may reopen stores which have been previously removed upon launch. Select *Save settings* before closing InstallRoot to prevent removed stores from opening upon future launches.

### NTAuth Comparison Report

Once the NTAuth Store has been created, the **NTAuth Comparison Report** may be selected. The NTAuth Comparison report compares the local NTAuth store to Active Directory's NTAuth store. This report can quickly display replication inconsistencies between the two.

Below are two examples of the NTAuth Comparison report. The left example shows an out-of-sync condition. The right example shows that the machine is in sync with the Active Directory.

Subject	▼ Issuer	Expiration	Local	Active Directory	Subject	▼ Issuer	Expiration	Local	Active Director
DOD CA-21	DoD Root CA 2	1/25/2015	×	4	DOD CA-21	DoD Root CA 2	1/25/2015	4	4
DOD CA-22	DoD Root CA 2	1/25/2015	×	4	DOD CA-22	DoD Root CA 2	1/25/2015	4	4
DOD CA-23	DoD Root CA 2	1/25/2015	×	4	DOD CA-23	DoD Root CA 2	1/25/2015	1	4
DOD CA-24	DoD Root CA 2	1/25/2015	×	4	DOD CA-24	DoD Root CA 2	1/25/2015	4	1
DOD CA-25	DoD Root CA 2	1/14/2016	×	~	DOD CA-25	DoD Root CA 2	1/14/2016	1	1
DOD CA-26	DoD Root CA 2	1/14/2016	×	4	DOD CA-26	DoD Root CA 2	1/14/2016	1	
DOD CA-27	DoD Root CA 2	9/8/2017	×	4	DOD CA-20	DoD Robi CA 2	0/8/2010		
DOD CA-28	DoD Root CA 2	9/8/2017	4	4	DOD CA-27	DOD ROOT CA 2	9/8/2017	~	~
DOD CA-29	DoD Root CA 2	9/8/2017	1	4	DOD CA-28	DoD Root CA 2	9/8/2017	~	~
DOD CA-30	DoD Root CA 2	9/8/2017	~	4	DOD CA-29	DoD Root CA 2	9/8/2017	~	4
					DOD CA-30	DoD Root CA 2	9/8/2017	~	4

NOTE: It is recommended that an Active Directory sync be initiated before running the report, even on the domain controller. The easiest method to do this is to run gpupdate /force from the command-line as an administrator.

# **Group Tab**

Certificate Groups are visible under each trust store tab. Each group is comprised of certificates and actions contained in an InstallRoot TAMP message. Groups may be expanded to view the individual certificates stored within.



### InstallRoot Group Types

By default, the following groups are created in InstallRoot:

- **Install DoD Certificates:** Contains DoD PKI **production** CA certificates for the NIPRNet. DoD PKI certificates should be installed on all NIPRNet systems to establish trust of the DoD PKI.
- **Install ECA Certificates:** External Certification Authority (ECA) PKI certificates should be installed on all DoD NIPRNet systems that have a need to interact with DoD external partners. Installing ECA PKI certificates establishes trust of the ECA PKI, which issues certificates to DoD partners who do not possess Common Access Cards (CACs) or other DoD-approved external PKI certificates.
- **Install JITC Certificates:** Joint Interoperability Test Command (JITC) PKI certificates should ONLY be installed in test environments and NOT on operational systems. Installing JITC PKI certificates establishes trust of the JITC

test infrastructures that replicate the DoD PKI capabilities and issue certificates for test and development purposes.

• Install WCF Certificates: Web Content Filter (WCF) PKI certificates should ONLY be installed on NIPRNet systems required to trust WCF Electronic Break/Inspect (EBI) proxies.

### Viewing the Digital Signature

Select the ( R) button located on the far right of each certificate group to view the InstallRoot TAMP message signature details such as the signer, certificate chain, date, time, and signature algorithm.

### Selecting a Group

1) Select a group by clicking the group name. Selected group names will be highlighted in bold.

**NOTE:** Groups must be selected prior to using the edit, remove, subscribe, and unsubscribe functions located in the Group tab of the toolbar.

- 2) Click the ▼ button on the right side to expand a certificate group and view the certificates within that group. The certificate table lists the following certificate information:
  - **Subject:** The certificate subject common name (CN).
  - **Issuer:** The certificate issuer CN.
  - **Sub-location:** The location where the certificate will be installed within the trust store.
  - **Installed:** The certificate's installation status in the selected trust store ( for installed or stalled).
  - Subscribed: The certificate's subscription status in the selected trust store (\* for subscribed or \* for unsubscribed) - A certificate will be installed, deleted, or updated depending on the subscription status when the Install Certificates button is clicked.

NOTE: Certificates listed in red are marked for deletion. These certificates will initially display as subscribed but will display as uninstalled after running the Install Certificates action.

### Adding Certificate Groups

- 1) Click the **Add** button within the **Group** tab of the toolbar.
- 2) When prompted, specify the **Location** of the group as desired. Administrators hosting InstallRoot TAMP files on a local server may wish to specify a local URI from which InstallRoot pulls TAMP messages. This could be a network file

system location or URL of an InstallRoot TAMP message (.ir4 file) that specifies the group's contents.

### **Editing Certificate Groups**

Administrators hosting InstallRoot TAMP files on a local server may wish to customize the URI from which InstallRoot pulls TAMP messages. This is accomplished by editing certificate groups using the following steps:

- 1) Select the group to edit.
- 2) Click the **Edit** button. Alternatively, right-click the group name and select **Edit** in the menu that appears.
- 3) Type the new address of the InstallRoot TAMP message (.ir4 file) that specifies the group's contents in the **URI** field or use the **Browse** button to navigate to another address.
- 4) Press **OK** to confirm group edits.

### **Removing Certificate Groups**

- 1) Click the group name desired for removal to select it.
- 2) Click the **Remove** button located in the **Group** tab of the toolbar.
- 3) Certificate groups may also be removed by right-clicking the group name and selecting **Remove** from the option list.

### Subscribing Groups

Subscribing to groups will mark the certificates they contain for installation. Once subscribed to a certificate group, certificates will be installed after selecting **Install Certificates** from the **Home** tab.

- 1) Click the group name desired to select it.
- 2) Select the **Subscribe** button located in the **Group** tab of the toolbar. By default, the **Install DoD Certificates** group will be subscribed.
- 3) Groups subscriptions may also be toggled by selecting the ( ×) or ( ×) icons located to the left of the group name.

### **Unsubscribing Groups**

- 1) Click the group name desired to select it.
- 2) Select the **Unsubscribe** button located in the **Group** tab of the toolbar.

NOTE: Unsubscribing will stop any future updates from being processed for that group, but it will not uninstall certificates.

27 UNCLASSIFIED

# **Certificate Tab**

The **Certificate** tab displays options for managing individual certificates. Expand a certificate group by clicking the ▼ button located to the far right of the group name in order to view the individual certificates located within.



### **Uninstalling** Certificates

To uninstall individual and/or multiple certificates from a selected trust store:

- 1) Navigate to the **Certificate** tab in the InstallRoot toolbar.
- 2) Select the appropriate trust store.
- 3) Select the **drop-down arrow** for the desired group to expand the list of certificates.
- 4) Select the certificate(s) to be uninstalled. **Ctrl+click** can be used to select multiple individual certificates and **Shift+click** can be used to select a list of adjacent certificates. Using **Ctrl+A** will select all of the certificates in the group.
- 5) Select the **Uninstall** button in the **Certificate** tab.

NOTE: Individual certificates may also be uninstalled by double-clicking the ( 💌 ) in the Installed column of the certificate grid.

### Managing individual certificate subscriptions

Although it is recommended to manage subscriptions at the group level for most functions, individual certificate installation and subscription status may also be toggled. To toggle the subscription status or installation of an individual certificate, click the (x) and (\*) icons. Subscription status and installation can also be managed for individual

28 UNCLASSIFIED certificates using the **Uninstall**, **Subscribe**, and **Unsubscribe** buttons in the **Certificate** tab.

NOTE: Unsubscribing to an individual certificate will prevent that certificate from being installed.

Once the desired subscriptions have been configured, navigate to the **Home** button, and click **Install Certificates.** 

### **Exporting Certificates**

To export certificates:

- 1) Select the **Certificate** tab in the InstallRoot toolbar.
- Expand the desired certificate group and select the certificate(s) to be exported. Ctrl+click can be used to select multiple individual certificates and Shift+click can be used to select a list of certificates.
- 3) Select the **PEM**, **DER**, or **PKCS7** button, depending on the format desired.
- 4) In the pop-up window, specify the directory to which the certificate(s) should be exported and click **OK**.

NOTE: When exporting as a PKCS7, please choose an appropriate name for the file. By default, InstallRoot will choose YYYY-MM-DD.p7b. (ex. 11-19-2015.p7b) 5) Click Save.

### **Cleaning Certificates**

The certificate cleanup tool is a new feature within InstallRoot 5.6. Selecting this option will open a new window within InstallRoot for filtering and cleaning certificate stores. See the Certificate Cleanup section for more information.

### **Refresh Certificates**

Changes to subscription or installation status may cause some inconsistencies in the certificate UI list. Use the **Refresh Certificates** button to refresh certificate lists within the InstallRoot UI.

# Help Tab

The help tab includes links to log files, the user guide, and the quick start guide. NOTE: Administrative privileges are required to view windows service logs.

A InstallRoot				0
Home Store Group	Certificate Help			
Help About Quick Start Ap	plication Service			
Minnsoft Local Computer				
V Jackell De D Cardification D				
A Install Doo Certificates				
Subject	Issuer	Sublocation	Installed	Subscribed
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### Help

Displays a PDF version of this user guide.

### About

Displays the version number, the DoD PKE web site, and the DoD PKE email address.

### **Quick Start**

Opens the InstallRoot Quick Start tutorial that is presented at the first use of the tool.

### **Application and Service Logs**

This area will vary depending on the user's permissions. When running the tool with administrative privileges, both the **Application** and **Service** log buttons will be present. When running the tool without administrative privileges, only the **Application** log button will be present. For more information on logging, see **Appendix B: Log Information**.

# **Certificate Cleanup**

The certificate cleanup function is a new feature in InstallRoot 5.6 designed to help remove undesirable certificates across any certificate stores configured within InstallRoot. Certificates may be deleted, exported, or untrusted using the cleanup tool.

To open the cleanup tool, select the **Certificate Cleanup** button located in the Certificates tab. This will open a new window alongside the primary InstallRoot UI.

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Use the ertificate filters to locate	Delete Untrust Export Certificates	sct 🗹 Expiration 🛛 Key Type r 🔄 Key Size 🔄 Sub-loca l 🔄 Hashing Algorithm 🗹 Country Show	tion 🗹 Store			
certificates	Certificates 😡	Subject	Issuer	Expiration	Country	Reason
which may	Known compromised certificates 🥹	Boot Agency	Root Agency	12/31/2039		HashAlg
quire cleanup.	Test (JITC or O&M) certificates 🥹	DOD OM CA-26	DoD JITC Root CA 2	11/13/2015	United States	Expired
1 1.	Expired certificates	DOD OM EMAIL CA-26	DoD JITC Root CA 2	11/5/2015	United States	Expired
	Non-root certificates located in root store	Microsoft Windows Hardware Comp	Microsoft Root Authority	12/31/2002		Expired, Has
	RSA/DSA key size 512 bit T or smaller	VeriSign Class 3 SSP Intermediate C	VeriSign Class 3 Public Primary Cert	12/31/2013	United States	Expired
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certificate	In the IID and Stands	BAH Internal Enterprise CA	BAH Internal Root CA	10/13/2013		Expired
within		GlobalSign RootSign Partners CA	GlobalSign Root CA	1/28/2028	Belgium	Non-Root
configured	Microsoft Local Computer	Booz Allen Hamilton	VeriSign Class 3 Code Signing 2004	7/7/2009	United States	Expired, Nor
InstallRoot	Multi-JAVA	Thawte Timestamping CA	Thawte Timestamping CA	12/31/2020	South Africa	HashAlg
stores.	Firefox	Microsoft Root Authority	Microsoft Root Authority	12/31/2020		HashAlg
	Countries 🥺	Microsoft Authenticode(tm) Root A	Microsoft Authenticode(tm) Root A	12/31/1999	United States	Expired, Has
	[All Countries]	Copyright (c) 1997 Microsoft Corp.	Copyright (c) 1997 Microsoft Corp.	12/30/1999		Expired, Has
	[None Specified]	NO LIABILITY ACCEPTED, (c)97 Veri	NO LIABILITY ACCEPTED, (c)97 Veri	1/7/2004		Expired, Has
	Belgium	Booz Allen Hamilton	VeriSign Class 3 Code Signing 2009	7/6/2012	United States	Expired, No
	Bermuda	GTE CyberTrust Global Root	GTE CyberTrust Global Root	8/13/2018	United States	HashAlg
	European Union Finland	Class 3 Public Primary Certification /	Class 3 Public Primary Certification /	8/1/2028	United States	HashAlg
	France	Thawte Premium Server CA	Thawte Premium Server CA	12/31/2020	South Africa	HashAlg
	Germany	Booz Allen Hamilton	VeriSign Class 3 Code Signing 2004	7/7/2009	United States	Expired
	Ireland	Microsoft Corporation	Microsoft Code Signing PCA	7/22/2015	United States	Expired
	Italy	Booz Allen Hamilton	VeriSign Class 3 Code Signing 2009	7/6/2012	United States	Expired
	Japan	GTE CyberTrust Global Root	GTE CyberTrust Global Root	8/13/2018	United States	HashAlg
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### Locating Certificates

The InstallRoot cleanup tool contains a variety of options for locating certificates which should be considered for cleanup.

#### Certificates

• **Known compromised certificates:** Check to locate compromised certificates known to DoD PKE. It is recommended to remove any compromised certificates found.

- **Test (JITC) certificates:** Check to locate test certificates. Test certificates (JITC) should not be installed on production systems and are recommended for removal.
- **Expired certificates:** Check to locate expired certificates. Users who validate historically signed documents may wish to keep expired certificates on their system.
- **Non-root certificates located in the root store:** Check to locate non-root certificates inappropriately located in the root store.
- **RSA/DSA key sizes 1024, 2048, 4096 or smaller:** Check to locate the selected RSA or DSA key sizes. Certificates using key sizes smaller than 1024 should be removed at the recommendation of the National Institute of Standards and Technology (NIST).
- Hashing algorithms MD5, SHA 1, SHA 256 or older: Check to locate the selected hashing algorithm type. Certificates using hashing algorithms MD5 or older should be removed.

#### InstallRoot Stores

The cleanup tool searches for certificates contained within configured InstallRoot stores. By default, all configured stores will be checked upon launch of the cleanup tool. Unchecking a store selection will prevent InstallRoot from locating any certificates within that store.

#### Countries

Select countries to view their certificates. Selected countries will serve as a basis for further certificate searches.

### Sorting and Cleaning Certificates

Once located, certificates may be deleted, untrusted, or exported using the corresponding functions in the ribbon bar of the cleanup tool.

#### **Sorting Certificates**

Sort certificates by clicking on the desired column. Show or hide columns using the checkboxes located at the top of the ribbon bar. To maximize the size of the certificates list, hide the certificate filters panel using the ( 📰 ) button.

As users apply filters to the certificates list, take note that the **Reason** column displays why certificates may require cleanup. The reasons displayed are based off of what filter selections have been made by the user. The following certificate reasons may be shown:

- Compromised
- Expired
- Test

- Non-Root
- KeySize
- HashAlg
- Country

#### Selecting Certificates

Click to select a certificate or select multiple certificates using **CTRL+click** and **SHIFT+Click**. Once certificates have been selected, they may be deleted, untrusted, or exported.

#### **Deleting Certificates**

Select the delete button ( 🗶 ) to delete the selected certificates from the trust store.

NOTE: Deleting certificates using the cleanup tool will <u>PERMANENTLY</u> delete them from the trust store. It is recommended to backup certificates by exporting them to a PKCS#7 file before deletion.

#### **Untrusting** Certificates

Select the untrust button ( $\bigcirc$ ) to move certificates in Microsoft certificate stores to the untrusted sub-store. This function is only supported for Microsoft Local Computer and Microsoft Current User trust stores.

Because Java, NSS, and NTAuth stores do not have untrusted stores, an error message will be produced. InstallRoot will request certificates belonging to Java, NSS, and NTAuth stores be deleted as an alternative. This prevents the certificates from being trusted. It is recommended to backup any certificates before untrusting them by exporting to a PKCS #7 file.

#### **Exporting Certificates**

Select the export button ( 🖻 ) to export certificates to a desired file location in PKCS #7 format.

# **Command-Line Utility**

The command-line utility can be used to manage InstallRoot trust stores. The utility may be run locally, from portable media, or as a logon script. Command-line Interface Exit Codes are provided in Appendix B: Log Information to facilitate using the utility in batch scripts.

### Preparation

For InstallRoot 5.6, the .MSI file must be used to install the command line utility. The utility requires .NET framework version 2.0 or above.

### Running InstallRoot with the Command-Line Utility

To run the utility locally or from portable media:

1) In a command prompt, navigate to the directory containing the command-line executable. The default path is:

```
C:\Program Files\DoD-PKE\InstallRoot\
```

NOTE: If the 32-bit version is installed on a 64-bit system, the CLI will be located in c:\program files(x86)\DoD-PKE\InstallRoot\.

2) Enter the desired command arguments when running InstallRoot. See the Using Commands section below for available command arguments.

To run the utility as part of a logon script, see the Microsoft Windows: Deploying DoD PKI CA Certificates Using Group Policy Objects guide available on the DoD PKE website at <u>https://public.cyber.mil/pki-pke/</u>under PKE A-Z > Guides.

### **Using Commands**

The command-line utility provides a number of options for manipulating certificates and groups. Some of the more commonly used commands are listed below along with examples. For help within the CLI use: **InstallRoot.exe --help.** 

#### Installing certificates

**InstallRoot.exe:** When run without command arguments, will install all DoD certificates into the appropriate Microsoft certificate store: Microsoft Current User for non-privileged users and Microsoft Local Computer for privileged users.

**InstallRoot.exe –-insert:** Used to install certificates. By default, it will install all of the certificates from the DoD group into the appropriate Microsoft certificate store (Local Computer if run as administrator, Current User if not). Example usage:

• To install all DoD certificates into the appropriate Microsoft certificate store: InstallRoot.exe ---insert.

- To install just ECA certificates into the appropriate Microsoft certificate store: **InstallRoot.exe --insert --group ECA.**
- To install JITC and DoD certificates into an NSS store (arbitrarily named for the example): InstallRoot.exe --insert --group DoD,JITC --store NSS --storepath %APPDATA%\Roaming\Mozilla\Firefox\Profiles\vvof92ga.default.

NOTE: InstallRoot will request a password if the NSS or Java database is password-protected. To automate the password input, use the --password parameter followed by the password.

#### **Removing Certificates**

**InstallRoot.exe --delete**: Used to delete certificates. The certificates and targets for this command are defined in the exact way as the insert command above. However, the delete command removes certificates and the insert command adds them. Example usage:

- To delete all DoD certificates from the appropriate Microsoft certificate store: InstallRoot.exe --delete.
- To delete ECA certificates from the appropriate Microsoft certificate store: InstallRoot.exe --delete --group ECA.
- To delete JITC and DoD certificates from an NSS store (arbitrarily named for the example): InstallRoot.exe --delete --group DoD,JITC --store NSS --storepath %APPDATA%\Roaming\Mozilla\Firefox\Profiles\vvof92ga.default.

NOTE: InstallRoot will request a password if the NSS or Java database is password-protected. To automate the password input, use the --password parameter followed by the password.

• To delete all certificate groups from the appropriate Microsoft certificate store: InstallRoot.exe --delete --group ECA,DoD,JITC.

**InstallRoot.exe --deletekey [KEY]:** Used to delete certificates by their public key. Use the **--listkey** command to determine the [KEY] prior to running this command.

#### Cache Clearing

**InstallRoot.exe --clearcache:** Used to clear the InstallRoot cache folder located at %LOCALAPPDATA%/DoD-PKE/InstallRoot/5.6/cache.

**InstallRoot.exe** --addtocache [FILE]: Used to add a TAMP message file to the UI or command line cache folder.

#### **Managing Trust Stores**

**InstallRoot.exe ---store [STORE]**: Used to identify a Microsoft certificate store against which to perform an operation. This argument is not run on its own; instead, it is used to identify targets for other commands.

35 UNCLASSIFIED **InstallRoot.exe** --liststores: Used to list all of the stores that can be used as inputs for the --store command. The available stores are: MSCAPI\_LC, MSCAPI\_CU,

#### NT\_AUTH, NSS, JAVA, MULTI\_NSS, MULTI\_JAVA.

**InstallRoot.exe** --storepath [PATH]: Used to identify the path of a NSS or Java store against which to perform an operation. This argument is not run on its own; instead, it is used to identify targets for the --store argument.

# For example: **InstallRoot.exe --insert --group DoD,JITC --store NSS --storepath** %APPDATA%\Roaming\Mozilla\Firefox\Profiles\vvof92ga.default.

#### Managing Groups

**InstallRoot.exe** –-listgroups: Lists all of the groups that can be used as inputs for the – group command. The available groups are **DoD**, **JITC**, and **ECA**.

**InstallRoot.exe --group [GROUP]**: This command is used to identify targets for other commands. Multiple groups can be specified by separating groups with commas. For example: **InstallRoot.exe --delete --group ECA,DoD,JITC** or **InstallRoot.exe --insert -- group JITC.** 

#### Managing Individual Certificates

**InstallRoot.exe** --list: Used to list certificates. The certificates and targets for this command are defined in the same way as for the --insert and --delete commands above. The difference is that the list command displays all certificates in the chosen group(s) and whether or not they are installed in the chosen store. The certificate number next to each certificate can be used with the --certs command explained below. Example usage:

- To list all certificates in the Microsoft certificate store: InstallRoot.exe --list.
- To list just ECA certificates in the Microsoft certificate store: **InstallRoot.exe –list** –-group ECA.
- To list DoD and ECA certificates in an NSS store (arbitrarily named for the example): InstallRoot.exe --list --group DoD,ECA --store NSS -storepath.
- %APPDATA%\Roaming\Mozilla\Firefox\Profiles\vvof92ga.default InstallRoot.exe --certs [NUMBERS]: Specifies an action to be performed with a specific certificate(s). Use the --list command to display the certificate number.

Example usage: InstallRoot.exe --delete --group ECA --certs 2,3,4

**InstallRoot.exe --listkeys:** Used to list the public keys for all certificates. The --listkey argument displays all certificates in the chosen group(s). Example usage:

• To list all the public keys: InstallRoot.exe --listkeys

NOTE: This argument is not recommended to be run with output to the command line since the list will be very long and typically will require the screen buffer size on the command line to be increased in order to display all

keys. It is recommended that this argument be used in conjunction with the group argument. It is also recommended to redirect output to a file.

- To list the public keys for certificates in the ECA group: **InstallRoot.exe -listkeys** --group ECA
- To output the public keys for certificates in the DoD group to a file: InstallRoot.exe --listkeys --group DoD > %USERPROFILE%\dod\_keys.txt

#### Managing Logs

**InstallRoot.exe –-level [LEVEL]:** Used to define the logging level. Used with Fatal, Error, Warn, Info, or Debug. Default is set to Info. Example usage: **InstallRoot.exe - level Debug** 

**InstallRoot.exe --logfile [FILE]:** Used to define the path to the log file. Can be used with the **--level** argument. Example usage:

- To specify a location and to capture Info (default) information: InstallRoot.exe logfile %USERPROFILE%\InstallRoot.log
- To specify a location and to capture debugging information: InstallRoot.exe logfile %USERPROFILE%\InstallRoot.log --level debug

#### **Exporting certificates**

**InstallRoot.exe --export [EXPORT]:** Specify the path location when exporting certificates. When exporting PKCS7 format certificates, include the file name with the path location. If a format type is not specified using the **--exportformat** argument, certificates will be exported in PEM format. Example usage:

- Export all DoD certificates in PEM Format: InstallRoot.exe --export c:\exported\_certficates\
- Export all DoD Certificates in DER format: InstallRoot.exe --export c:\exported\_certficates\ --exportformat DER
- Export all ECA Certificates in PKCS7 format: InstallRoot.exe --export c:\exported\_certficates\DoD\_certs.p7b --exportformat pkcs7 --group ECA

NOTE: If the file name is not specified along with the path location, the CLI will produce an error.

**InstallRoot.exe** --exportformat [FORMAT]: Specifies the format type to be used when exporting certificates. PEM, DER, or PKCS7 are acceptable.

#### Managing Online Update Options

**InstallRoot.exe ---uri [URI]:** Retrieve TAMP messages from a specified URI instead of the default InstallRoot URIs.

37 UNCLASSIFIED **InstallRoot.exe --update:** Initiates an online check for new TAMP messages.

Example usage:

- InstallRoot.exe --update --uri http://server.local/InstallRoot/DoD.ir4
- InstallRoot.exe --update --uri \\netshare\network\location\DoD.ir4
- **InstallRoot.exe --nocache:** Used with **--update** to direct InstallRoot not to cache downloaded TAMP message updates to disk. Not recommended.

# **Uninstalling InstallRoot**

Uninstall any currently installed InstallRoot versions before updating InstallRoot to a newer version.

NOTE: Registry settings will be deleted on uninstall in most cases.

To uninstall InstallRoot:

- 1) Navigate to the **Windows Control Panel.**
- 2) Select **Programs and Features.**
- 3) Select **Uninstall a program.**
- 4) Select **InstallRoot** from the list of programs on the system.
- 5) Click Uninstall.

# **Release Notes**

### 5.2 General Changes

- **Removed expired CAs from TAMP messages.** This update removes expired CAs (CA 27, 28, 29, 30).
- Add support for TLS 1.1 and TLS 1.2. This update provides support for TLS 1.1 and TLS 1.2. Client machines need at least .NET 3.5 SP 1 installed.

### 5.5 General Changes

- Updated TAMPs to reflect the most up to date TAMP messages Update allowed TAMPS to be the most recently updated tamp messages pulled from GDS.
- Updated URLs in guide to point to cyber.mil. Update allows users to correctly locate all the software available for any items they need for the tool including the tool itself.

### 5.6 General Changes

- Updated Tamps to reflect the most updated GDS TAMP messages.
- Updated Digital Signature of tool.

This update has the most recent digital signature for the tool, so it is no longer expired.

# **Appendix A: Supplemental Information**

Please use the information below for troubleshooting and support.

### Web Site

Visit the URL below for the PKE website.

<u>https://cyber.mil/pki-pke/</u>Visit the **Tools** page to download the latest InstallRoot version.

### **Technical Support**

Contact the DoD PKE Team for technical support, bug reporting, and feature requests through the email address: <u>dodpke@mail.mil</u>

### Acronyms

AD	Active Directory
CA	Certification Authority
CAC	Common Access Card
CN	Common Name
CRL	Certificate Revocation List
CLI	Command-Line Interface
DER	Distinguished Encoding Rules
DoD	Department of Defense
ECA	External Certificate Authority
FIPS	Federal Information Processing Standard
GDS	Global Directory Service
GPO	Group Policy Object
GUI	User Interface
JDK	Java Development Kit
JITC	Joint Interoperability Test Command
JRE	Java Runtime Environment

MMC	Microsoft Management Console			
MS CAPI	Microsoft Cryptographic Application Programming Interface			
MSI	Microsoft installer			
NIPRNet	Non-Classified Internet Protocol Router Network			
NIST	National Institute of Standards and Technology			
NSS	Network Security Service			
OCSP	Online Certificate Status Protocol			
PEM	Privacy Enhanced Email			
PKCS7	Public Key Cryptographic Standard 7			
РКЕ	Public Key Enablement			
PKI	Public Key Infrastructure			
SIPRNet	Secret Internet Protocol Router Network			
TAMP	Trust Anchor Management Protocol			
URI	Uniform Resource Identifier			

# **Appendix B: Log Information**

### InstallRoot Error Logging

By default, InstallRoot activities are logged to the following log files:

• Service logs:

C:\Program Files\DoD-PKE\InstallRoot\service\logs\InstallRoot.log

• GUI logs: %LOCALAPPDATA%\DoD-PKE\InstallRoot\5.6\InstallRoot.log

Both log files can be found in the **Help tab** of the InstallRoot toolbar. Refer to these log files if unexpected behavior is observed or unexpected errors are encountered.

By default, the logs are set to capture **Information**, **Warning**, **Error**, and **Fatal** messages. If more logging information is desired, the logs can be set to **Debug** mode. This is done via the registry.

- 1) Run **regedit.exe**.
- To set the DebugMode flag for the administrator GUI and service events, navigate to:

HKEY\_LOCAL\_MACHINE\SOFTWARE\DoD-PKE\InstallRoot\5.6\

To set the DebugMode flag for the user GUI events

navigate to:

HKEY\_CURRENT\_USER\Software\DoD-PKE\InstallRoot\5.6

For both keys, double-click the **DebugMode** value.

- 3) Change the value from 0 to 1.
- 4) Restart the InstallRoot GUI or the service in order for the change to take effect, depending on which log was updated.

The InstallRoot log is configured to roll log files once they reach 10MB in size. The application will also save the last 10 log files; over-writing older logs. These parameters can be changed by editing the configuration file **log4netFileConfig.xml** located here:

```
C:\Program Files\DoD-PKE\InstallRoot\
<?xml version="1.0" encoding="utf-8" ?>
<log4net>
<appender name="RollingLogFileAppender"
type="log4net.Appender.RollingFileAppender">
<file value="RollingLogFileAppender"
type="log4net.Appender.RollingFileAppender">
<file value="RollingLogFileAppender"
type="log4net.Appender.RollingFileAppender">
<file value="InstallRoot.log" />
<appendToFile value="true" />
<maxSizeRollBackups value="10" />
<maximumFileSize value="10MB" />
```

```
<rollingStyle value="Size" />
<lockingModel
type="log4net.Appender.FileAppender+MinimalLock" />
<layout type="log4net.Layout.PatternLayout">
<layout type="log4net.Layout.PatternLayout">
<conversionPattern value="%date %-5level -
%message%newline"/>
</layout>
</layout>
</appender>
<root>
<appender-ref ref="RollingLogFileAppender"/>
</root>
</log4net>
```

Two lines in the configuration file can be changed if necessary:

- To change the size of when the log file is rolled over, edit the **maximumFileSize value**. Allowable size values are a minimum of one with no maximum, followed by a KB or MB.
- To change the maximum number of files, edit the **maxSizeRollBackups** value. Allowable size values are a minimum of one with no maximum. It is recommended that this value not be changed.

### Windows Error Logging

InstallRoot will also log events to the **Windows Event Log** system. To make the events easier to find, InstallRoot creates its own log file under the **Applications and Services Logs** tree called **DoD-PKE InstallRoot**.



Below are the event IDs and their descriptions:

Event ID	Description				
200	Successful update operation indicating how many certificates were installed and if the operation was performed via an online update or update from disk/built-in cache.				
410	<ul> <li>EventID 410 can be generated for the following errors:</li> <li>Internal Error</li> <li>TAMP message was signed by an invalid code signer</li> <li>Signer certificate has been revoked</li> </ul>				
420	<ul><li>EventID 420 can be generated for the following errors:</li><li>Failure to read the update period from the registry</li><li>logging failed to initialize</li></ul>				
430	Failure to update certificate store(s)				

#### **Command-line Interface Exit Codes**

If running InstallRoot CLI within batch files, the following exit codes are provided:

Exit Code	Description		
1	Invalid argument		
2	<ul> <li>Initialization errors</li> <li>Runtime Configuration Generator Initialization error</li> <li>NSS DLL Load error</li> <li>Process Runtime Configuration error</li> <li>InstallRoot attempted to load TAMP messages that were signed with an algorithm that your Operating System does not support</li> <li>Logfile directory does not exist, Logfile does not exist, or Logfile directory is not writeable</li> </ul>		
3	<ul><li>Command Argument errors</li><li>Export format option used without Export option error</li></ul>		

	<ul><li>No cache option used without Update option error</li><li>Unsupported group</li></ul>	
4	<ul> <li>Permission and domain check errors</li> <li>Request update of MSCAPI Local Computer store without adequate permissions error</li> <li>Request update of NT Auth store without adequate permissions error</li> <li>Request update of NT Auth store without machine being a member of a domain error</li> <li>Attempt to clear cache without adequate permissions error</li> </ul>	
5	Certificate Database Processor error (FAILURE)	
6	Certificate Database Processor error (SIGVERFAILURE)	

7	Certificate Database Processor error (SIGVERFAILUREREVOKED)		
8	Certificate Information Processor error		
10	Open MSCAPI Local Computer store failed		
11	Open MSCAPI Current User store failed		
12	Open NSS store failed		
13	Open NT Auth store failed		
15	<ul> <li>Error while retrieving status information for certificates</li> <li>ArgumentNullException</li> <li>FormatException</li> <li>IOException</li> <li>Generic Exception</li> </ul>		
16	<ul><li>Error while retrieving key information for the certificates</li><li>ArgumentNullException</li></ul>		
	<ul><li>FormatException</li><li>IOException</li><li>Generic Exception</li></ul>		
17	<ul> <li>Error occurred creating the export directory</li> <li>PathTooLongException</li> <li>IOException</li> <li>SecurityException</li> <li>Generic Exception</li> </ul>		

18	<ul> <li>Error occurred accessing the export directory</li> <li>PathTooLongException</li> <li>SecurityException</li> <li>Generic Exception</li> </ul>	
20	Failed removal by key	
21	Failed to install certificate	
22	Certificate removal not possible because it does not exist to remove	
24	Failed to remove certificate	
30	InstallRoot has identified running NSS processes that will conflict with the importing and removal of certificates	
31	Open Multi NSS store failed	
32	Open Multi JAVA store failed	
33	Open JAVA store failed	

### InstallRoot Cache

InstallRoot maintains a local cache of the latest TAMP messages received for each group so the online update will only download new TAMP messages when they have been updated. Depending on the method used to download the TAMP messages, they will be stored in different locations, as follows:

• The shared cache for the CLI and GUI is located at:

%LOCALAPPDATA%\DoD-PKE\InstallRoot\5.6\cache

• The cache for the Windows service is located at:

```
C:\Program Files\DoD-PKE\InstallRoot\service\cache
```

# **Appendix C: Active Directory Installation Overview**

NOTE: Distributing the InstallRoot MSI using the existing software distribution processes is preferred. If such a process is not implemented, the following example can be used.

InstallRoot 5.6 has been tested with Windows Server 2008, 2008R2, and 2012, using Active Directory's GPOs to push the installation to domain member workstations. The following provides a general overview of deployment methods, distribution point creation, and GPO creation. The below steps were performed using Server 2008R2 and each version of Windows Server may have slightly different steps.

### Methods of deployment

Group Policy supports two methods of deploying an MSI package:

1) **Assign software** - A program can be assigned per-user or per-machine. If assigned per-user, it will be installed when the user logs on.

If assigned per-machine, then the program will be installed for all users when the machine starts.

2) **Publish software** - A program can be published for one or more users. This program will be added to the **Add or Remove Programs** list, where users will be able to install it.

NOTE: Most DoD system users will require InstallRoot, and it is recommended that InstallRoot be deployed per-machine.

### Creating a distribution point

The first step in deploying the MSI through a GPO is to create a distribution point on the publishing server. This can be done by following these steps:

- 1) Log on to the server as an Administrator.
- 2) Create a shared network folder (this folder will contain the MSI package).
- 3) Set permissions on this folder in order to allow access to the distribution package.
- 4) Copy the MSI in the shared folder.

NOTE: Correct permissions must be set at the share level as well as the file level. If error 1612 is received during the install, verify the domain-joined machine has the appropriate read permissions to the share and file locations of the MSIs.

### **Create a Group Policy Object**

The MSI package is distributed as a Group Policy Object. In order to create an object for the package, follow these steps:

- Click the Start button, go to Programs > Administrative Tools and then select Group Policy Management.
- 2) Expand the domain name in the console tree and navigate to the **Group Policy Objects.**
- 3) Expand the **Group Policy** tab.

NOTE: At this point, a new Group Policy Object can be created or one that already exists can be edited. For this example, a new Object will be created.

- 4) Right-click the **Group Policy** tab and select new.
- 5) Set the name of the policy (for example *InstallRoot\_5*) and select **OK**.
- 6) Right-click the newly created Object and select **Edit**.
- 7) **Expand** the **Computer Configuration > Policies > Software Settings** tree.
- 8) Right-click the **Software installation** and select **New > Package**.
- 9) In the **Open** dialog that appears, change the location to the UNC of the machine and share of where the InstallRoot .msi was placed in the **Creating a distribution** point section above. *Example:\\machine\_name\share\_location*

NOTE: Do not use the Browse button in the Open dialog to access the UNC location. Make sure to use the UNC path to the shared package.

- 10) **Open** the .msi file.
- 11) Select **Assigned** and then select **OK**.
- 12) The information about the installation package will populate the right pane.

**NOTE:** This operation may take some time to complete.

- 13) Close the Group Policy Management Edit window.
- 14) In the **Group Policy Management** screen, ensure the new **Group Policy Objects GPO status** is **Enabled**.

NOTE: The above procedures will create the GPO at the domain level. If more granular control is desired, assign the GPO to the correct OU, group, etc. for the domain.

# **Appendix D: Using InstallRoot in Disconnected Environments**

InstallRoot uses the Trust Anchor Management Protocol (TAMP) to obtain instructions for changes it should make to a trust store. Each InstallRoot TAMP message, identified by the .ir4 file extension, contains a set of certificates and associated instructions that tell the tool which certificates should be installed or removed from which trust store location.

In environments with network connectivity, if updates are enabled, the tool will automatically check for new TAMP messages on the DISA Global Directory Service (GDS web site. However, in disconnected environments the system will not be able to reach GDS, so the latest TAMP message must be either rehosted locally or directly installed on the machine where the tool is running. For disconnected enclaves, hosting TAMP messages on a local web site or file share accessible to all machines in the enclave is recommended to facilitate easy updates when new TAMP messages are released. However, for individual disconnected machines, directly installing new TAMP messages on the local machine may be necessary.

For either disconnected deployment method, the tool should also be configured so that it uses the TAMP message in the desired location without attempting to fetch messages from unreachable locations.

NOTE: For InstallRoot Command Line Interface (CLI) commands throughout this appendix, the commands must be executed from within the %ProgramFiles%\DoDPKE\InstallRoot directory.

### **Obtaining the Latest InstallRoot TAMP Message**

For disconnected environments, the latest TAMP message must be manually retrieved and transferred to the disconnected environment. It can then be either hosted on a local server or network file share to support all machines in the disconnected enclave or placed directly on the machine(s) running InstallRoot.

There are a couple of ways to obtain the latest TAMP message from a connected machine prior to transferring it to the disconnected environment.

#### **Option 1: Direct Download**

Download the desired message(s) directly from the InstallRoot TAMP message directory hosted on GDS at https://crl.gds.disa.mil/pke#no\_dod\_banner.

#### **Option 2: InstallRoot Update**

From a connected machine running InstallRoot, perform an online update and then copy the latest message from cache. The update can be performed using either the InstallRoot Graphical User Interface (GUI) or Command Line Interface (CLI).

51 UNCLASSIFIED

#### InstallRoot GUI: Click the Online Update button on the Home tab.

InstallRoot Command Line Interface (CLI): Run the installroot --update command.

Once the online update has been performed, the latest InstallRoot TAMP message file can be copied from the local cache directory located at

%USERPROFILE%\AppData\Local\DoD-PKE\InstallRoot\5.6\cache for transfer to the disconnected system.

### **Redistributing the Latest TAMP Message**

Once the TAMP message has been transferred to the disconnected environment, there are several options for redistributing it.

#### **Option 1:** Hosting the Latest TAMP Message on a Local Web or File Server

For disconnected enclaves with multiple machines, establishing a central hosting location for the latest TAMP message(s) can be an efficient way to distribute updates. The hosting location can be either a web server or network file share. The account(s) under which the InstallRoot tool and service (if installed) are run must have permissions to access the hosting location. For web servers that require MIME type configuration, the recommended MIME type for .ir4 files is application/tampupdate.

#### **Option 2:** Placing the Latest TAMP Message Directly onto Workstations

If maintaining a central hosting location is not feasible, the latest TAMP message(s) can be distributed to each workstation that should receive the certificate updates.

A local directory location where any new TAMP messages will be stored should be identified; for example, C:\TAMP. The tool can then be configured to look for new TAMP messages in that location going forward.

For enterprise deployments, the latest TAMP message(s) can be deployed to the designated directory location on workstations across an enclave via standard organizational practices such as GPO. For individual deployments, users can manually copy the latest TAMP message(s) into the designated directory.

### Configuring InstallRoot to Use the Local TAMP Message

When initially deploying InstallRoot in a disconnected environment, the tool should be reconfigured to fetch messages from the local TAMP message location rather than unreachable locations like GDS. The sections below describe how to configure the different InstallRoot components to consume local TAMP messages rather than the default messages hosted on GDS. All actions should be performed with administrative privileges (e.g., by launching the tool or command prompt using the Run as Administrator option) if available.

#### Automatic Certificate Updates: Windows Service

The InstallRoot Windows service must be installed to enable the tool to automatically check for and install certificate updates. Follow these instructions to configure the InstallRoot Windows service to check a local TAMP message location rather than GDS for updates. These configuration steps must only be performed once. Once the InstallRoot Windows Service is configured, the tool will automatically update the workstation's trust store whenever a new TAMP message is made available at the configured location.

NOTE: If hosting TAMP messages on a network file share, the InstallRoot service must be configured to run under an account with access to the share rather than the default Local System account.

**Enterprise Deployment** 

For enterprise deployments, the Windows Service can be configured using the registry as described in the **Configuring InstallRoot** section. For disconnected environments, the **URL** value(s) for the appropriate group(s) under the

HKEY\_LOCAL\_MACHINE\SOFTWARE\DoD-PKE\InstallRoot\5.6\Groups registry key should be set to reflect the local TAMP message location (e.g., within the DoD group key, set the URL value to http://localserver.localdomain.mil/IRTAMP/DoD.ir4, \\localshare.localdomain.mil\IRTAMP\DoD.ir4 or C:\TAMP\DoD.ir4 for a web server, network file share, or local directory location, respectively).

🕅 Registry Editor – 🗆 🗙					
EIIE Edit View Favorites Help File Edit View Favorites Help SECURITY SOFTWARE Classes Clients DoD-PKE InstallRoot So Groups Clients DoD-PKE JITC Stores	Name (Default) CertStore DefaultSubscribed SeqNum URL	Type REG_SZ REG_MULTI_SZ REG_MULTI_SZ REG_MULTI_SZ REG_MULTI_SZ	Data (value not set) Microsoft Local Computer Microsoft Local Compu 0x00000001 (1) Root=1448387956 CA=1448387959 Untrusted=1448 http://localserver.localdomain.mil/TAMP/DoD.ir4		
Computer\HKEY_LOCAL_MACHINE\SOFTWARE\DoD-PKE\InstallRoot\5.0\Groups\DoD					

#### Individual Deployment

For individuals installing the tool on their local workstations, it is recommended that the GUI be installed with the service to eliminate the need to directly configure registry settings. Follow instructions for GUI Initial Setup in the Manual Certificate Updates section, ensuring that the Windows Service is selected to be installed in Step 1.

#### Manual Certificate Updates

If the InstallRoot Windows service has not been installed and configured to automatically update the certificate trust store, certificate updates can be performed manually using either the InstallRoot GUI or CLI by following the instructions below.

GUI

#### Initial Setup

These configuration steps must only be performed once.

NOTE: Prior to beginning, ensure that the latest TAMP message is available locally at one of the locations detailed in the Redistributing the Latest TAMP Message section.

- 1) Run the InstallRoot installer (MSI) according to the standard installation instructions.
- 2) Update the tool configuration to point to the local TAMP message(s) rather than GDS.
  - a) Launch the InstallRoot GUI. An error message will display indicating that the tool failed to perform an online update. Close the message.
  - b) Select the **Group** tab.
  - c) Select the certificate group (e.g., **Install DoD Certificates**) for which the TAMP message is being hosted locally and click the **Edit** button on the header ribbon.
  - d) Delete the GDS URL (<u>https://crl.gds.disa.mil/pke/config/DoD.ir4</u>) in the URI field and replace it with the URL or local file location for the local TAMP message (e.g., http://localserver.localdomain.mil/IRTAMP/DoD.ir4, \\localshare.localdomain.mil\IRTAMP\DoD.ir4 or C:\TAMP\DoD.ir4). Click OK. A message should display indicating that the URI for the selected group was successfully changed.
  - e) Select the **Home** tab and click the **Save Settings** button.
- 3) Remove any of the default groups (e.g., ECA, JITC) not being used by right clicking the group and selecting **Remove**. This will prevent the tool from generating errors when attempting to perform updates due to those group's TAMP messages not being available.

NOTE: Groups can be added back into the tool at any time by clicking the Add button under the Group tab and entering the URL or local file location for the group's TAMP message.

4) Follow the instructions in the **Certificate Updates** section to install the certificates contained within the locally hosted TAMP message.

#### Certificate Updates

Perform these steps each time a new TAMP message is released.

- 1) Ensure the updated TAMP message is available from the local server URL or file share.
- 2) If the machines to be updated have not been configured to automatically install updates from the local server URL using the Windows Service, manually perform the update:
  - a) Click the **Online Update** button. The tool should display a message indicating an updated TAMP message was found.
  - b) Verify desired certificates for installation display in the appropriate group (e.g., expand the **Install DoD Certificates** line to verify that the latest CAs are listed).
  - c) Click the **Install Certificates** button to install the updates.

#### **Command Line**

#### Initial Setup

1) Run the InstallRoot installer (MSI). On the **InstallRoot Features** screen of the **InstallRoot Setup Wizard**, uncheck the **GUI** and **Windows Service** options.

NOTE: If running the Non-Admin version of the InstallRoot installer, the Windows Service option is not available and therefore unchecking that option is unnecessary.

2) Follow the instructions in the Error! Reference source not found. section to install the certificates contained within the local TAMP message.

#### Certificate Updates

Perform these steps each time a new TAMP message is released.

- 1) Ensure the updated TAMP messages for each group to be updated are available from the local server URL, file share, or local file system location.
- 2) Manually perform the update and installation for each group to be updated:
  - a) Retrieve the latest TAMP message:

**From a web server or file share:** From a command prompt, run the installroot --update --group <group\_name> --uri <local TAMP message URL> command to fetch the latest TAMP message from the local server.

NOTE: <group\_name> should be the name of the group to be updated, e.g., DoD. <local TAMP message URL> should be the local hosting location of the latest TAMP message for that group, e.g. http://localserver.localdomain.mil/IRTAMP/DoD.ir4 or \\localshare.localdomain.mil\IRTAMP\DoD.ir4.

From a local file system location: From a command prompt, run the
installroot --group <group\_name> --addtocache
<path\_to\_new\_TAMP\_message> command to register the new TAMP
message with the tool.

NOTE: <group\_name> should be the name of the group to be updated, e.g., DoD. <path\_to\_new\_TAMP\_message> should be the new TAMP message file and path noted in step 1 of this section. For example, if the new DoD.ir4 TAMP message file was saved to the C:\TAMP directory, the full command would be installroot --group DoD -addtocache C:\TAMP\DoD.ir4.

- b) Run the installroot --list command to verify that desired certificates for installation display in the appropriate group (e.g., run installroot -list --group DoD and verify that the latest DoD CAs are listed).
- c) Run the installroot --insert --group <group\_name> command to install the updates.

NOTE: <*group\_name*> should be the name of the group to be updated, e.g., DoD.