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**SYMANTEC ENDPOINT PROTECTION 12.1  
ANTIVIRUS LOCALLY CONFIGURED  
SECURITY TECHNICAL IMPLEMENTATION GUIDE  
(STIG) OVERVIEW**

**Version 1, Release 3**

**24 July 2015**

**Developed by DISA for the DoD**

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## 1. INTRODUCTION

### 1.1 Executive Summary

This document is a requirement for all DoD-administered systems and all systems connected to DoD networks. These requirements are designed to assist Security Managers (SMs), Information Security System Managers (ISSMs), Information Security System Officers (ISSOs), and System Administrators (SAs) with configuring and maintaining security controls. This guidance supports DoD system design, development, implementation, certification, and accreditation efforts.

This McAfee Antivirus STIG provides the technical security policies, requirements, and implementation details for applying security concepts to Commercial-Off-The-Shelf (COTS) applications.

Malware, also known as malicious code and malicious software, refers to a program that is inserted into a system, usually covertly, with the intent of compromising the confidentiality, integrity, or availability of the victim's data, applications, or operating system or otherwise annoying or disrupting the victim. Malware has become the most significant external threat to most systems, causing widespread damage and disruption, and necessitating extensive recovery efforts within most organizations. Spyware malware's intention is to violate a user's privacy and has become a major concern to organizations. Although privacy violating malware has been in use for many years, it has become much more widespread recently, with spyware invading many systems to monitor personal activities and conduct financial fraud. Organizations also face similar threats from a few forms of non-malware threats that are often associated with malware. One of these forms that has become commonplace is phishing, which is using deceptive computer-based means to trick individuals into disclosing sensitive information. Another common form is virus hoaxes, which are false warnings of new malware threats.

These requirements address several major forms of malware, including viruses, worms, Trojan horses, malicious mobile code, blended attacks, spyware tracking cookies, and attacker tools, such as backdoors and root kits.

The Symantec Endpoint Protection 12.1 Antivirus can be configured directly on each local client or via the Symantec Endpoint Protection Management Server to all managed clients.

This STIG gives technology-specific information for the Symantec Endpoint Protection 12.1 Antivirus Locally Configured.

### 1.2 Authority

DoD Instruction (DoDI) 8500.01 requires that "all IT that receives, processes, stores, displays, or transmits DoD information will be [...] configured [...] consistent with applicable DoD cybersecurity policies, standards, and architectures" and tasks that Defense Information Systems Agency (DISA) "develops and maintains control correlation identifiers (CCIs), security requirements guides (SRGs), security technical implementation guides (STIGs), and mobile code risk categories and usage guides that implement and are consistent with DoD cybersecurity policies, standards, architectures, security controls, and validation procedures, with the support

of the NSA/CSS, using input from stakeholders, and using automation whenever possible." This document is provided under the authority of DoDI 8500.01.

Although the use of the principles and guidelines in these SRGs/STIGs provide an environment that contributes to the security requirements of DoD systems, applicable NIST SP 800-53 cybersecurity controls need to be applied to all systems and architectures based on the Committee on National Security Systems (CNSS) Instruction (CNSSI) 1253.

### 1.3 Vulnerability Severity Category Code Definitions

Severity Category Codes (referred to as CAT) are a measure of vulnerabilities used to assess a facility or system security posture. Each security policy specified in this document is assigned a Severity Category Code of CAT I, II, or III.

**Table 1-1: Vulnerability Severity Category Code Definitions**

	DISA Category Code Guidelines
CAT I	Any vulnerability, the exploitation of which will, <b>directly and immediately</b> result in loss of Confidentiality, Availability, or Integrity.
CAT II	Any vulnerability, the exploitation of which <b>has a potential</b> to result in loss of Confidentiality, Availability, or Integrity.
CAT III	Any vulnerability, the existence of which <b>degrades measures</b> to protect against loss of Confidentiality, Availability, or Integrity.

### 1.4 STIG Distribution

Parties within the DoD and Federal Government's computing environments can obtain the applicable STIG from the Information Assurance Support Environment (IASE) website. This site contains the latest copies of any STIGs, SRGs, and other related security information. The address for the IASE site is <http://iase.disa.mil/>.

### 1.5 Document Revisions

Comments or proposed revisions to this document should be sent via email to the following address: [disa.stig\\_spt@mail.mil](mailto:disa.stig_spt@mail.mil). DISA will coordinate all change requests with the relevant DoD organizations before inclusion in this document. Approved changes will be made in accordance with the DISA maintenance release schedule.

### 1.6 Other Considerations

DISA accepts no liability for the consequences of applying specific configuration settings made on the basis of the SRGs/STIGs. It must be noted that the configurations settings specified should be evaluated in a local, representative test environment before implementation in a production environment, especially within large user populations. The extensive variety of

environments makes it impossible to test these configuration settings for all potential software configurations.

For some production environments, failure to test before implementation may lead to a loss of required functionality. Evaluating the risks and benefits to a system's particular circumstances and requirements is the system owner's responsibility. The evaluated risks resulting from not applying specified configuration settings must be approved by the responsible Authorizing Official. Furthermore, DISA implies no warranty that the application of all specified configurations will make a system 100% secure.

Security guidance is provided for the Department of Defense. While other agencies and organizations are free to use it, care must be given to ensure that all applicable security guidance is applied both at the device hardening level as well as the architectural level due to the fact that some of the settings may not be able to be configured in environments outside the DoD architecture.